

FORM PTO-1449

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Atty Docket No.

P1053R2

Serial No.

08/948,149

LIST OF DISCLOSURES CITED BY APPLICANT

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Applicant

Fendly et al.

Filing Date

09 Oct 1997

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U.S. PATENT DOCUMENTS

Examiner Initials		Document Number	Date	Name	Class	Subclass	Filing Date
	* 1	08/948,192		Fendly et al.			09.10.97
	* 2	09/119,152		Fendly et al.			20.07.98
	* 3	09/266,706		Fendly et al.			11.03.99
PMS	4	4,968,603	06.11.90	Slamon et al.	435	6	
AMS	5	5,183,884	02.02.93	Kraus et al.	536	23.5	
PME	6	5,480,968	02.01.96	Kraus et al.	530	326	
PME	7	5,641,869	24.06.97	Vandlen et al.	530	413	

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Examiner Initials		Document Number	Date	Country	Class	Subclass	Translation Yes	No
PMS	8	599,274	01.06.94	EPO	C12N	15/12		
PMS	9	WO 89/06692	27.07.89	PCT	C12P	21/00		
MMS	10	WO 94/00136	06.01.94	PCT	A61K	35/14		
MMS	11	WO 94/22478	13.10.94	PCT	A61K	39/345		
PMS	12	WO 97/20858	12.06.97	PCT	C07K	16/32		

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PMS	13	(Genentech, Inc. Proposal Request and Material Transfer Agreement with Richard H. Scheuermann (1993), 3 pages, and letters in relation to it dated June 23, 1993 and October 20, 1993)					
PMS	14	Aasland et al., "Expression of oncogenes in thyroid tumours: coexpression of c-erbB2/neu and c-erbB" <u>British Journal of Cancer</u> 57(4):358-363 (Apr 1988)					
PMS	15	Adams and Weiner, "Intracellular single-chain Fv antibodies--a knockout punch for neoplastic cells?" <u>Gynecologic Oncology</u> 59(1):6-7 (Oct 1995)					
PMS	16	Arboleda et al., "Effects of the 4D5 antibody on HER2/neu heterodimerization with other class I receptors in human breast cancer cells" <u>Proceedings of the American Association for Cancer Research</u> (Abstract #353) 37:51 (Mar 1996)					
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PMS	22	Carraway et al., "A Neu Acquaintance for ErbB3 and ErbB4: A Role for Receptor Heterodimerization in Growth Signaling" <u>Cell</u> 78:5-8 (July 15, 1994)					
PMS	23	Carter et al., "Humanization of an anti-p185HER2 antibody for human cancer therapy" <u>Proc. Natl. Acad. Sci.</u> 89:4285-4289 (1992)					

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